# Evidence for Production of Single Top Quarks at $D\emptyset$ and A First Direct Measurement of $|V_{th}|$

Dugan O'Neil For the DØ Collaboration

Dec. 8, 2006





SIMON FRASER UNIVERSITY

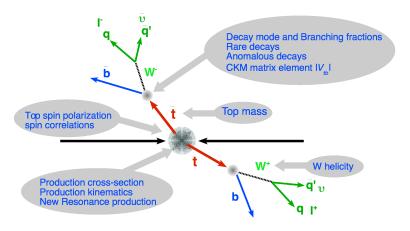
#### Outline

- Introduction and Motivation
- Preparing for the Measurement
- Multivariate Analysis Techniques
  - Decision Trees
  - Matrix Elements Method
  - Bayesian Neural Networks
- Expected Sensitivity
- Cross Sections and Significance
- ullet First Direct Measurement of  $|V_{\mathrm{tb}}|$
- Conclusions



#### Top Quark Physics

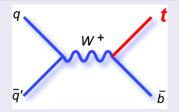
The Tevatron is still the only place to make top quarks.





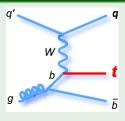
## Single top quark production

#### s-channel (tb)



- $\sigma_{NLO} = 0.88 \pm 0.11 \text{ pb(*)}$
- current limits (95% C.L.): Run II DØ: < 5.0 pb $(370 \text{pb}^{-1})$ Run II CDF: < 3.1 pb $(700 \text{pb}^{-1})$

#### t-channel (tqb)



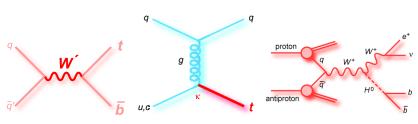
- $\sigma_{NLO} = 1.98 \pm 0.25 \text{ pb (*)}$
- current limits (95% C.L.): Run II DØ: < 4.4 pb  $(370 \text{pb}^{-1})$ Run II CDF: < 3.2 pb $(700 \text{pb}^{-1})$

And some very nice CDF results in W&C just last week!!



#### Motivation

- ullet Directly measure  $|V_{\rm tb}|$  for the first time (more later)
- Cross section sensitivity to beyond the SM processes
- Source of polarized top quarks. Spin correlations measurable in decay products.
- Important background to Higgs search
- Test of techniques to extract a small signal out of a large background





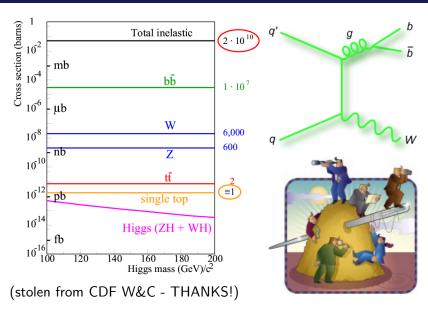
## It's not like we haven't been looking already...

- 2001 Search for electroweak production of single top quarks in ppbar collisions" Phys. Rev. D 63, 031101 (2001)
- 2001 "Search for Single Top Quark Production at DØ Using Neural Networks," Phys. Lett. B 517, 282 (2001).
- 2004 "Search for Single Top Quark Production at DØ in Run II," DØ Note 4398 (2004).
- 2005 "Improved Search for Single Top Quark Production," DØ Note 4670 (2005).
- **3** 2005 "Search for Single Top Quark Production in  $p\overline{p}$  Collisions at  $\sqrt{s} = 1.96$  TeV," Phys. Lett. **B 622**, 265 (2005).
- 2006 "Multivariate Searches for Single Top Quark Production with the DØ Detector," submitted to Phys. Rev. D, hep-ex/0604020.

plus 7 PhDs. (CDF has a similar list)

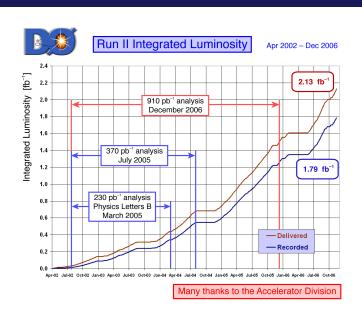


## ...but it is a challenge!





#### **Improvements**





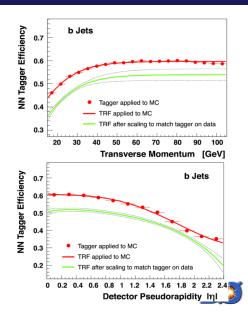
## More Improvements...

- Background model improvements
- Fully reprocessed dataset: new calibrations, jet threshold, etc.
- Neural network b-tagging
- Split analysis channels by numbers of jets (exclusive bins)
- Combined s + t search added (SM s:t ratio)

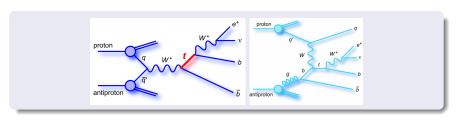


## Neural Network b-jet Tagger

- NN trained on 7 input variables from SVT, JLIP and CSIP taggers.
- Much improved performance!
  - fake rate reduced by 1/3 for same b-efficiency relative to previous tagger
  - smaller systematic uncertainties
- Tag Rate Functions (TRFs) in  $\eta$ ,  $P_T$ , z-PV applied to MC
- Our operating point:
  - b-jet efficiency  $\sim 50\%$
  - ullet c-jet efficiency  $\sim 10\%$
  - Light jet efficiency  $\sim 0.5\%$



#### **Event Selection**



#### Signature

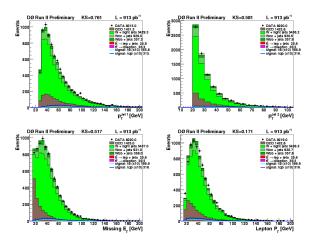
- isolated lepton
- ₱
- 2-4 jets
- at least 1 b-jet

- Only one tight and no other loose lepton
  - ullet electron:  $p_{T}>15$  GeV and  $|\eta_{det}|<1.1$
  - muon:  $p_T > 18$  GeV and  $|\eta_{det}| < 2$
- 15 < ₺<sub>T</sub> < 200 GeV
- ullet 2-4 jets with  $p_T > 15$  GeV and  $|\eta_{det}| < 3.4$ 
  - Leading jet with  $p_T > 25$  GeV and  $|\eta_{det}| < 2.5$
  - Second leading jet  $p_T > 20 \text{ GeV}$



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## Event Selection - Agreement Before Tagging



- Normalize
   W+multijet to
   data before tagging
- Checked 90
  variables,
  3 jet multiplicities,
  1-2 tags,
  electron + muon
- Shown: electron, 2 jets, before tagging
- Good description of data



#### Event Selection - Yields

	Event Yields in 0.9 fb <sup>-1</sup> Data Electron+muon, 1tag+2tags combined				
Source	2 jets 3 jets		4 jets		
tb	16 ± 3	8 ± 2	2 ± 1		
tqb	20 ± 4	12 ± 3	4 ± 1		
t <del>t</del> → II	39 ± 9	32 ± 7	11 ± 3		
tt̄ → /+jets	20 ± 5	103 ± 25	143 ± 33		
W+bb̄	261 ± 55	120 ± 24	35 ± 7		
W+cc̄	151 ± 31	85 ± 17	23 ± 5		
W+jj	119 ± 25	43 ± 9	12 ± 2		
Multijets	95 ± 19	77 ± 15	29 ± 6		
Total background	686 ± 131	460 ± 75	253 ± 42		
Data	697	455	246		



## Event Selection - S/B

Percentage of single top tb+tqb selected events and S:B ratio (white squares = no plans to analyze)						
Electron + Muon	1 jet	2 jets	3 jets	4 jets	≥ 5 jets	
0 tags	1: 3,200	25% 1 : 390	1:300	3% 1 : 270	1% □ 1:230	
1 tag	6% 1 : 100	21% 1:20	11%	3% 1 : 40	1% □ 1 : 53	
2 tags		3% 1 : 11	2% 	1% 	0% 	



## Systematic Uncertainties

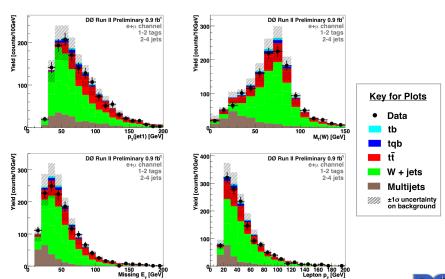
- Systematic uncertainties can be either "shaped" (jet energy scale, tag rate functions)
  - Shift inputs by  $\pm 1\sigma$ , redo analysis
- or "normalization"
  - Uncertainties assigned per background, jet multiplicity, lepton, number of tags

#### Examples of Relative Systematic Uncertainties

xamples of Relative Systematic Officertainti			
tt̄ cross section	18%		
Luminosity	6%		
Electron trigger	3%		
Muon trigger	6%		
Jet energy scale	wide range		
Jet fragmentation	5–7%		
Heavy flavor ratio	30%		
Tag-rate functions	2–16%		



## Systematic Uncertainties





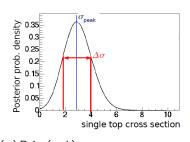
## Measuring the Cross Section

Probability to observe data distribution D, expecting y:

$$y = \alpha I \sigma + \sum_{s=1}^{N} b_s \equiv a \sigma + \sum_{s=1}^{N} b_s$$

$$P(D|y) \equiv P(D|\sigma, a, b) = \prod_{i=1}^{nbins} P(D_i|y_i)$$

The cross section is obtained



$$Post(\sigma|D) \equiv P(\sigma|D) \propto \int_{a} \int_{b} P(D|\sigma, a, b) Prior(\sigma) Prior(a, b)$$

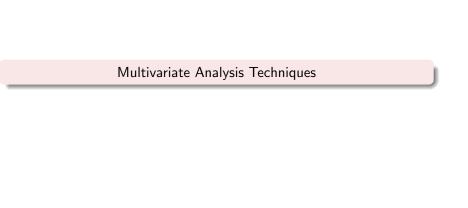
- Bayesian posterior probability density
- Shape and normalization systematics treated as nuisance parameters
- Correlations between uncertainties properly accounted for
- Flat prior in signal cross section



## **Ensemble Testing**

- To verify that all of this machinery is working properly we test with many sets of pseudo-data.
- Wonderful tool to test analysis methods! Run DØ experiment 1000s of times!
- Generated ensembles include:
  - **1** 0-signal ensemble  $(s + t \sigma = 0pb)$
  - ② SM ensemble  $(s + t \sigma = 2.9pb)$
  - **3** "Mystery" ensembles to test analyzers  $(s + t \sigma = ??pb)$
  - **4** Ensembles at measured cross section ( $s + t \sigma = measured$ )
  - A high luminosity ensemble
- Each analysis tests linearity of "response" to single top.

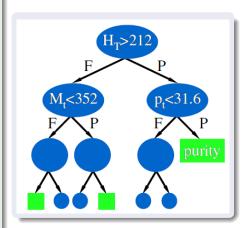




#### **Decision Trees**

#### **Train**

- Start with all events (first node)
- For each variable, find the splitting value with best separation between children (best cut).
- select best variable and cut and produce Failed and Passed branches
- Repeat recursively on each node
- Stop when improvement stops or when too few events left.
   Terminal node = leaf.

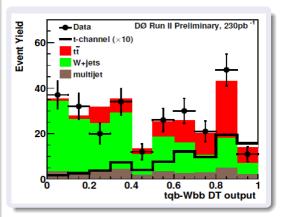




#### **Decision Trees**

#### Measure and Apply

- Take trained tree and run on independent simulated sample, determine purities.
- Apply to Data
- Should see enhanced separation (signal right, background left)
- Could cut on output and measure, or use whole distribution to measure.





## Decision Trees - Boosting

#### **Boosting**

- Recent technique to improve performance of a weak classifier
- Recently used on DTs by GLAST and MiniBooNE
- Basic principal on DT:
  - train a tree  $T_k$
  - $T_{k+1} = modify(T_k)$

#### AdaBoost algorithm

- Adaptive boosting
- Check which events are misclassified by T<sub>k</sub>
- Derive tree weight  $\alpha_k$
- Increase weight of misclassified events
- Train again to build  $T_{k+1}$
- Boosted result of event *i*:  $T(i) = \sum_{n=1}^{N_{\text{tree}}} \alpha_k T_k(i)$
- Averaging dilutes piecewise nature of DT
- Usually improves performance

Ref: Freund and Schapire, "Experiments with a new boosting algorithm", in *Machine* 



Learning: Proceedings of the Thirteenth International Conference, pp 148-156 (1996)

## Decision Trees - Application to this Analysis

#### DT Choices

- 1/3 of MC for training
- Adaboost  $\beta = 0.2$
- Boosting cycles = 20
- Signal leaf if purity > 0.5
- Minimum leaf size = 100 events
- Same total weight to signal and background to start
- Goodness of split Gini factor

#### Analysis Strategy

- Train 36 separate trees:  $(s,t,s+t) \times (e,\mu) \times (2,3,4)$  jets)  $\times (1,2)$  tags)
- For each signal train against the sum of backgrounds



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#### Decision Trees - 49 variables

#### Object Kinematics

 $p_T$ (jet1)  $p_T$ (jet2)  $p_T$ (jet3)  $p_T$ (jet4)  $p_T$ (best1)  $p_T$ (notbest1)  $p_T$ (notbest2)  $p_T$ (untag1)  $p_T$ (untag2)

#### **Angular Correlations**

```
\Delta R(\text{jet1,jet2})
cos(best1, lepton)_{besttop}
cos(best1,notbest1)besttop
cos(tag1,alljets)_{alliets}
cos(tag1, lepton)_{btaggedtop}
cos(jet1,alljets)alljets
\cos(\text{jet1,lepton})_{\text{btaggedtop}}
cos(jet2,alljets)alljets
\cos(\text{jet2}, \text{lepton})_{\text{btaggedtop}}
\cos(\operatorname{lepton}, Q(\operatorname{lepton}) \times z)_{\operatorname{besttop}}
\mathsf{cos}(\mathsf{lepton}, \mathsf{besttopframe})_{\mathsf{besttopCMframe}}
\mathsf{cos}(\mathsf{lepton}, \mathsf{btaggedtopframe})_{\mathtt{btaggedtopCMframe}}
cos(notbest, alljets) alljets
cos(notbest, lepton)_{besttop}
cos(untag1,alljets)alljets
cos(untag1, lepton)_{btaggedtop}
```

#### Event Kinematics

```
Aplanarity(alljets, W)
M(W,best1) ("best" top mass)
M(W,tag1) ("b-tagged" top mass)
H<sub>T</sub>(alliets)
H_T(\text{alljets}-\text{best}1)
H<sub>T</sub>(alljets-tag1)
H_{\tau}(alliets, W)
H_T(\text{jet1,jet2})
H_T(\text{jet1},\text{jet2},W)
M(alljets)
M(alliets-best1)
M(alliets-tag1)
M(jet1,jet2)
M(jet1, jet2, W)
M_{\tau}(\text{jet1,jet2})
M_T(W)
Missing E<sub>T</sub>
pT(alljets-best1)
p_(alljets-tag1)
p_{\tau}(\text{jet1,jet2})
Q(lepton) \times \eta(untag1)
\sqrt{\hat{s}}
```

Sphericity(alliets.W)

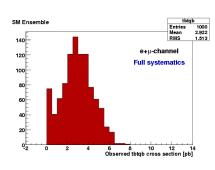
- Adding variables does not degrade performance
- Tested shorter lists, lose some sensitivity
- Same list used for all channels

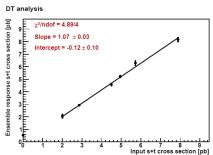
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#### Decision Trees - Ensembles

- SM input is returned by DTs
- "Mystery" ensembles are unraveled by the DTs
- Linear response is achieved







#### Matrix Elements Method - Introduction

A matrix elements analysis takes a very different approach:

- Use the 4-vectors of all reconstructed leptons and jets
- Use matrix elements of main signal and background diagrams to compute an event probability density for signal and background hypotheses.
- Goal: calculate a discriminant:

$$D_s(\vec{x}) = P(S|\vec{x}) = \frac{P_{Signal}(\vec{x})}{P_{Signal}(\vec{x}) + P_{Background}(\vec{x})}$$

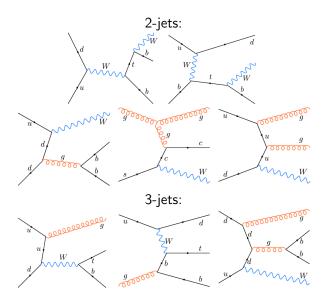
ullet Define  $P_{Signal}$  as properly normalized differential cross section

$$P_{Signal}(\vec{x}) = \frac{1}{\sigma_S} d\sigma_S(\vec{x}) \quad \sigma_S = \int d\sigma_S(\vec{x})$$

• Shared technology with mass measurement in  $t\bar{t}(\text{eg. transfer functions})$ 



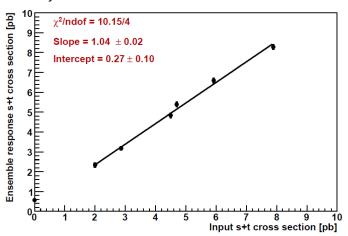
#### Matrix Elements Method - Introduction





#### Matrix Elements Method - Ensembles

#### ME analysis





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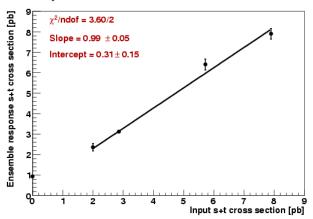
## Bayesian Neural Network - Introduction

- A different sort of neural network:
  - Instead of choosing one set of weights, find posterior probability density over all possible weights
  - Averaging over many networks weighted by the probability of each network given the training data
  - Less prone to overtraining
  - For details see: http://www.cs.toronto.edu/radford/fbm.software.html
- Use 24 variables (subset of DT variables)

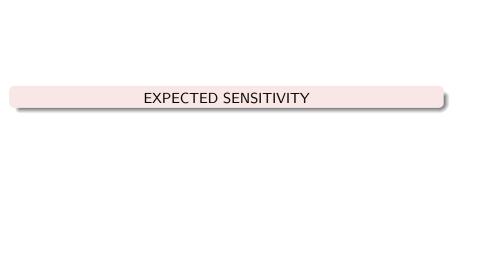


## Bayesian Neural Network - Ensembles

#### BNN analysis







## Significance/Sensitivity Determination

We use our 0-signal ensemble to determine a significance for each measurement.

#### Expected p-value

The fraction of 0-signal pseudo-datasets in which we measure at least 2.9pb.



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## Significance/Sensitivity Determination

We use our 0-signal ensemble to determine a significance for each measurement.

#### Expected p-value

The fraction of 0-signal pseudo-datasets in which we measure at least 2.9pb.

#### Observed p-value

The fraction of 0-signal pseudo-datasets in which we measure at least the measured cross section.

We also can use the SM ensemble to see how compatible our measured value is with the SM.



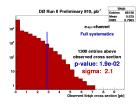
## Ensemble Testing - Details

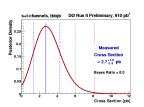
- Use a pool of weighted signal + background events (about 850k in each of electron and muon)
- Fluctuate relative and total yields in proportion to systematic errors
- Randomly sample from a Poisson distribution about the total yield
- Generate a set of pseudo-data (a member of the ensemble)
- Pass the pseudo-data through the full analysis chain (including systematic uncertainties)



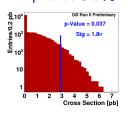
## Expected p-value s + t

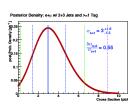
# Decision Trees p-value 1.9%





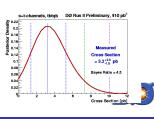
# Matrix Elements p-value 3.7%

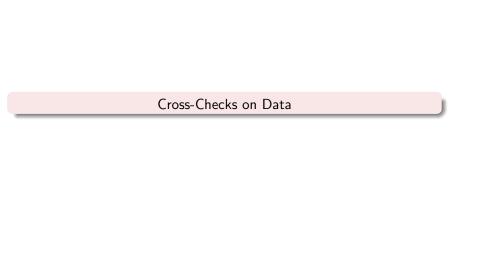




## Bayesian NN p-value 9.7%

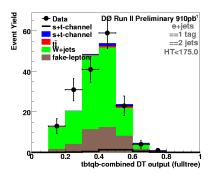


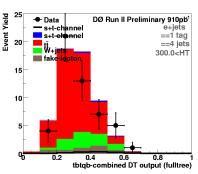




## Cross-check samples

- "W+jets": =2jets,  $H_T$ (lepton,  $\not\!\!E_T$ , alljets) < 175 GeV
- "ttbar": =4jets,  $H_T(lepton, \not\!\!E_T, alljets) > 300 \text{ GeV}$
- Shown: tb+tqb DT output for e+jets



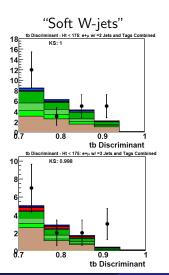


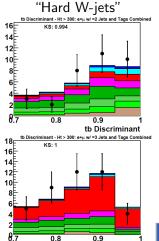
Good agreement of model with data



### Matrix Elements Method - Cross-Checks

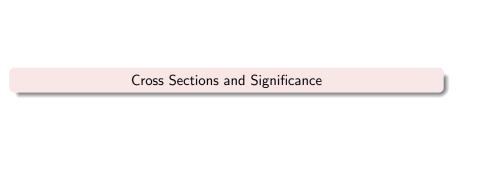
Look at  $H_T$  "sidebands" in 2 and 3 jets



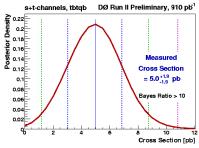


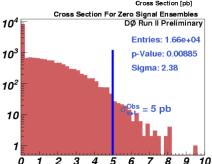


tb Discriminant



## Bayesian Neural Network - Observed



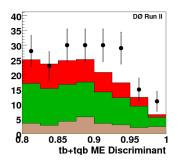


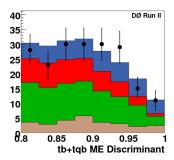
Least sensitive (a-priori) analysis sees  $2.4\sigma$  effect!



### Matrix Elements Method - Observed

Discriminant output with and without signal component (all channels combined in 1D to "visualize" excess)

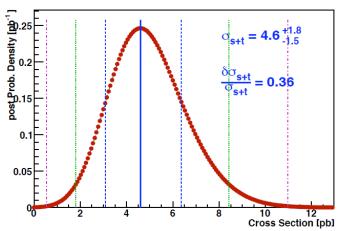






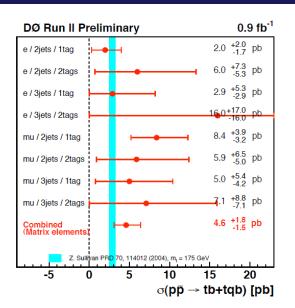
### Matrix Elements Method - Observed







## Matrix Elements Method - Summary

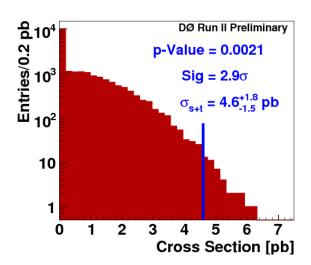




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## Matrix Elements Method - p-value

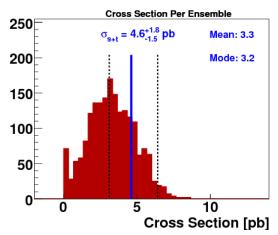
p-value=0.0021,  $2.9\sigma$  !!





### Consistent with SM?

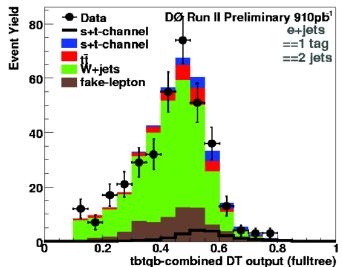






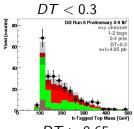
### Decision Trees on Data

Of course, we have 36 different Decision Trees, let's look at electron, 2 jet, 1 tag:

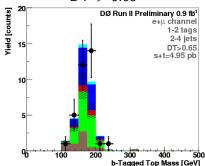


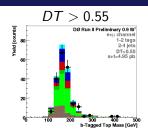


## Decision Trees - Event Characteristics M(W, b)





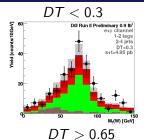


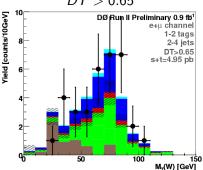


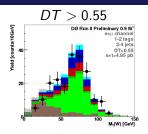
Excess in high DT output region.



## Decision Trees - Event Characteristics $M_{Tw}$



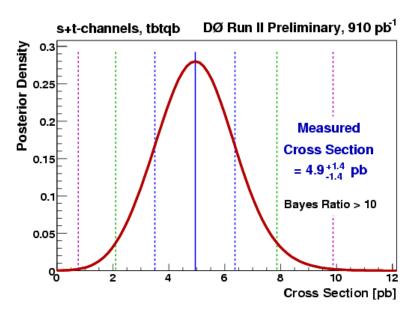




Excess in high DT output region.

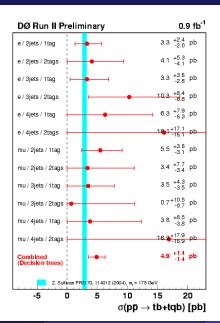


### Decision Trees - Observed



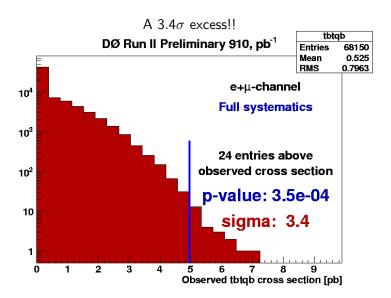


## Decision Trees - Summary



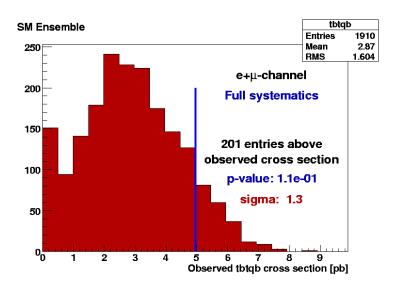


## Decision Trees - p-value





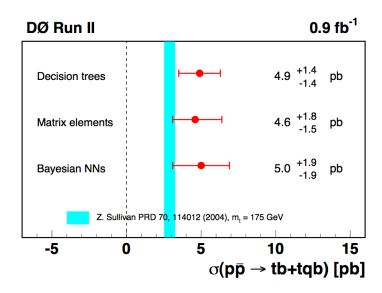
### Consistent with SM?





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## s + t Summary - All methods





### Correlations - All methods

Choose the 50 highest events in each discriminant and look for overlap

Technique	Electron	Muon
DT vs ME	52%	58%
DT vs BNN	56%	48%
ME vs BNN	46%	52%



### Correlations - All methods

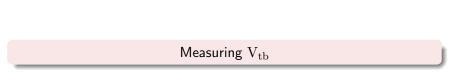
Choose the 50 highest events in each discriminant and look for overlap

Technique	Electron	Muon
DT vs ME	52%	58%
DT vs BNN	56%	48%
ME vs BNN	46%	52%

Also measured the cross section in 400 members of the SM ensemble with all three techniques and calculated the linear correlation between each pair:

	DT	ME	BNN
DT	100%	39%	57%
ME		100%	29%
BNN			100%





## CKM Matrix Element $V_{\rm tb}$

### Direct access to $V_{tb}$

$$V_{CKM} = \left( egin{array}{ccc} V_{ud} & V_{us} & V_{ub} \ V_{cd} & V_{cs} & V_{cb} \ V_{td} & V_{ts} & egin{array}{ccc} V_{tb} \end{array} 
ight)$$

- Weak interaction eigenstates are not mass eigenstates
- In SM: top must decay to a W and d, s or b quark
  - $V_{td}^2 + V_{ts}^2 + V_{tb}^2 = 1$
  - constraints on  $V_{td}$  and  $V_{ts}$ :  $V_{tb} > 0.998$
- New physics that couples to the top quark:
  - $V_{td}^2 + V_{ts}^2 + V_{tb}^2 < 1$
  - no constraint on  $V_{tb}$



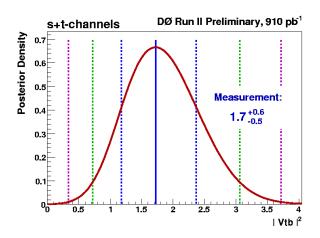
# Measuring $|V_{\rm tb}|$

- $\bullet$  Given that we now have a measurement of the single top cross section, we can make the first direct meassurement of  $|V_{\rm tb}|.$
- Use the same infrastructure as cross section measurement but make a posterior in  $|V_{\rm tb}|^2$ .
- Caveat: assume SM top quark decays.
- Additional theoretical errors are needed (see hep-ph/0408049)

	S	t
top mass	13%	8.5%
scale	5.4%	4.0%
PDF	4.3%	10.0%
$\alpha_{m s}$	1.4%	0.01%



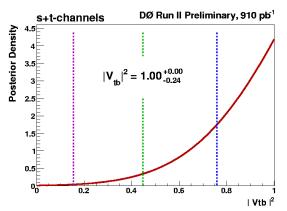
# Measuring $|V_{\rm tb}|^2$





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## Limiting $| m V_{tb}|$



Constrain  $|V_{\rm tb}|$  to physical region and integrate:  $|V_{\rm tb}| = 1.00^{-0.12}_{+0}$ 



### Conclusions

### Preliminary First Evidence for Single Top Quark Production!!

- s+t cross section:  $4.9\pm1.4 \mathrm{pb}$
- $3.4\sigma$  significance!
- Three techniques in good agreement.
- ullet First direct measurement of  $|V_{tb}|!!$

$$|V_{\rm tb}| = 1.00^{-0.12}_{+0}$$



## **BACKUP SLIDES**

### **BACKUP SLIDES**

### Electron ID

• We require electrons to be within the central calorimeter:  $|\eta^{det}| < 1.1$ .

#### Loose isolated electron

At least 90% of the energy of the cluster must be contained in the electromagnetic section of the calorimeter. The  $\chi^2$  from the 7 × 7 H-matrix must be less than 50. The energy deposition in the calorimeter must be matched with a charged particle track from the tracking detectors with  $p_t > 5$  GeV. Isolation:  $(E_{\rm total}(R < 0.4) - E_{\rm EM}(R < 0.2))/E_{\rm EM}(R < 0.2) < 0.15$ .

### Tight isolated electron

A tight isolated electron must pass the loose isolation requirements above, and have a value of the seven-variable EM-likelihood  $\mathcal{L}>0.85$ .



### Muon ID

Loose muons must be of  $medium \, |{\rm nseg}| = 3$  quality and pass the loose cosmic ray rejection timing requirements:  $|\Delta t({\sf A}|\, {\sf layer}\, {\sf scint},\, t_0)| < 10$  ns and  $|\Delta t({\sf BC}|\, {\sf layer}\, {\sf scints},\, t_0)| < 10$  ns. The track reconstructed in the muon system must match a track reconstructed in the central tracker with  $\chi^2/{\sf ndof} < 4$ . The central track is required to have distance of closest approach (dca) to the primary vertex of  $|{\rm dca}(x,y)| < 0.2$ . Note that the previous analysis imposed a dca significance cut of 3 standard deviations that has been removed now. Loose muons must be isolated from jets by  $\Delta R > 0.5$ .

### Tight isolated muon

Tight isolated muons are loose muons with the additional isolation criteria: (a) the momenta of all tracks in a cone of radius R < 0.5 around the muon direction, except the track matched to the muon, add up to less than 20% of the muon  $p_T$ ; and (b) the energy deposited in an annular cone of radius 0.1 < R < 0.4 around the muon direction is less that 20% of the muon  $p_T$ 



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### **HF** Fraction

$$\alpha (Wb\bar{b} + Wc\bar{c}) + Wjj + t\bar{t} + QCD = Data$$

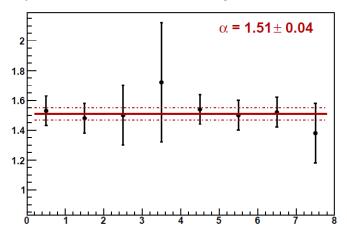
#### Scale Factor $\alpha$ to Match Heavy Flavor Fraction to Data

	1 jet	2 jets	3 jets	4 jets
Electron Channel				
0 tags	$1.53 \pm 0.10$	$1.48\pm0.10$	$1.50 \pm 0.20$	$1.72 \pm 0.40$
1 tag	$1.29 \pm 0.10$	$1.58 \pm 0.10$	$1.40 \pm 0.20$	$0.69 \pm 0.60$
2 tags	_	$1.71 \pm 0.40$	$2.92 \pm 1.20$	$-2.91 \pm 3.50$
Muon Channel				
0 tags	$1.54 \pm 0.10$	$1.50\pm0.10$	$1.52\pm0.10$	$1.38 \pm 0.20$
1 tag	$1.11 \pm 0.10$	$1.52\pm0.10$	$1.32\pm0.20$	$1.86\pm0.50$
2 tags	_	$1.40 \pm 0.40$	$2.46 \pm 0.90$	$3.78 \pm 2.80$



### **HF Fraction**

Heavy flavour scale factor  $\alpha$  measured in the zero tag bins





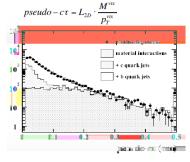
### HF Fraction - CDF

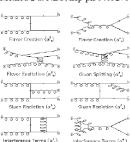
- 1) Estimate generic jet heavy flavor fraction in ALPGEN Monte Carlo
- 2) Fit for bottom and charm fraction in generic jet data

Difference between the two outcomes suggests K=1.5±0.4

Result supported by study using MCFM:  $M^{vex}$ 

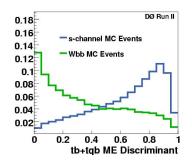
J. M. Campbell, J. Houston, Method 2 at NLO, hep-ph/0405276

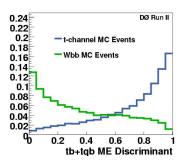






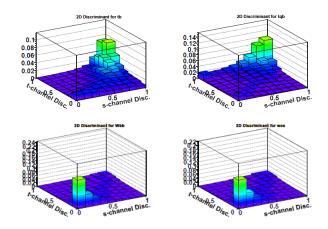
### Matrix Element Method





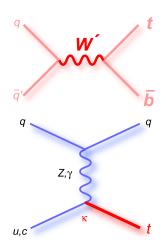


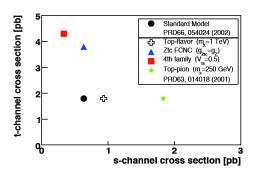
## Matrix Element Method





## Motivation - New Physics







### Uncertainties

#### Relative Systematic Uncertainties

$t \bar t$ cross section	18%	Primary vertex	3%	
Luminosity	6%	Electron reco * ID	2%	
Electron trigger	3%	Electron trackmatch & likelihood	5%	
Muon trigger	6%	Muon reco * ID	7%	
Jet energy scale	wide range	Muon trackmatch & isolation	2%	
Jet efficiency	2%	$\varepsilon_{\mathrm{real}-e}$	2%	
Jet fragmentation	5–7%	$\varepsilon_{\mathrm{real}-\mu}$	2%	
Heavy flavor fraction	30%	$\varepsilon_{\mathrm{fake}-e}$	3-40%	
Tag-rate functions	2–16%	$\varepsilon_{\mathrm{fake}-\mu}$	2-15%	

